

## „The 3 C approach in fragile situations - the role of civil society“

**Vienna, 5-7 May 2010**

### **Programme**

### **Geleitwort zur Konferenz**

Sehr geehrte Damen und Herren!

Internationales Friedensengagement erfordert heute verstärkte Koordination, Komplementarität und Kohärenz (3C) zwischen internationalen Akteuren, nationalen Regierungen und der Zivilgesellschaft.

Diese Konferenz soll die Rolle der Zivilgesellschaft in fragilen Situationen beleuchten und so einen konkreten Beitrag zu mehr Effizienz im Friedensmanagement leisten.

Das Ziel ist, die Zusammenarbeit zwischen internationalen Akteuren, nationalen Regierungen und der Zivilgesellschaft vor Ort zu verbessern, um den globalen Bedrohungen für Frieden und Sicherheit wirksam zu begegnen.

Nur gemeinsam können wir fragile Situationen erfolgreich und nachhaltig überwinden!

*Dr. Michael Spindelegger*

Bundesminister für europäische und internationale Angelegenheiten

*Mag. Norbert Darabos*

Bundesminister für Landesverteidigung und Sport

## Programme

**5 May**  
18.00 h

**Public Opening<sup>1</sup>**  
in cooperation with UNA-Austria

**Venue:** National Defence Academy; Stiftgasse 2A, 1070 Vienna, reachable by underground (U3)

Welcome address  
Major General Johann Pucher, Federal Ministry of Defence and Sports

Key-Note from H.E. The Federal Minister for European and International Affairs Michael Spindelegger (per video-message)

Purpose of the Conference  
Ambassador Irene Freudenschuss-Reichl, Ministry for European and International Affairs

Expectations of NGOs  
Ruth Picker, Global Responsibility – Austrian Platform for Development and Humanitarian Aid (“AG Globale Verantwortung”)

Host Lecture from Cristina Hoyos, Swiss Agency for Cooperation and Development (SDC), „3 C approach in fragile situations“

*Followed by a reception*

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<sup>1</sup> The kick-off event and the evening lecture will be held in German, the main conference day on 6<sup>th</sup> May in English (without translation).

## International Conference

**6. Mai**

**3C response to fragile situations and civil society**  
**Venue:** National Defence Academy (NDA)

9.00 Welcome coffee

9.30-9.45 Opening of the Conference, Amb. Freudenschuss-Reichl  
Address by H.E. The Federal Minister of Defence and Sports Norbert Darabos

10.00-10.45 **Setting the scene: How to include civil society - conceptual challenges, 4 perspectives**

1. Alina Rocha Menocal, Overseas Development Institute (ODI), UK, „State-building for peace: navigating an arena of contradictions“
2. Wolfgang Petritsch, Austrian Delegation to the OECD, „Independent actors – common visions – a contribution to a peacebuilding and statebuilding consensus“
3. Rosan Smits, Clingendael Institute, Conflict Research Unit, NL, “Working mechanisms for engaging with local civil society organisations“
4. Emma Birikorang, Kofi Annan International Peace Keeping Training Centre, Ghana, „The new peace architecture in Africa – is there a place for civil society engagement?“

**Chair:** MG Johann Pucher, Austrian Ministry of Defence and Sports

**Comments by:**  
Juana de Catheu, OECD, International Network on Conflict and Fragility (INCAF)  
Gerhard Jandl, Security Policy Director, MFA Austria

10.50-11.30 *Plenary Discussion*

11.30 *Photo*

11.35-11.45 *Coffeebreak*

11.45-12.30 **Roundtable 1: The critical role of civil society in fragile situations – the value added: 4 voices**

1. Sabine Kurtenbach, German Institute of Global and Area Studies, „Post-war and post-conflict challenges for development cooperation“
2. Daouda Boubacar Diallo, Réseau International d'Études Stratégiques sur les Conflits en Afrique (RIESCA), „Strengths and weaknesses of civil society engagement in conflict prevention“
3. Gudrun Kramer, Institute for Integrative Conflict Transformation and Peacebuilding (IICP), „NGO mediation and advocacy before, after and during violent conflict“
4. Max Santner, Austrian Red Cross, “NGO security and monitoring in humanitarian scenarios“

**Chair:** Professor Othmar Höll, Austrian Institute for International Affairs (AIIA)

**Comments by:**

Katja Ahlfors, European Commission, Crisis Response and Peace Building

Brigitte Öppinger-Walchshofer, Managing Director, Austrian Development Agency (ADA)

12.35-13.15 *Plenary Discussion*

13.15 h *Lunch, offered by the MFA*

14.30-15.15

**Roundtable 2: The need for addressing a clear value added – synergies between actors in the context of peace operations: 4 impulses**

1. Stefani Weiss, Bertelsmann Foundation, „The 3D lense: lessons learned for 3C“
2. David Tolbert, President of the International Center for Transitional Justice (ICTJ), “Fragile states: Building more inclusive policies and societies”
3. Thomas Starlinger, Austrian Ministry of Defence and Sports, Former Force Commander/Kosovo, “Operationalising a Comprehensive Approach”
4. Vedran Dzihic, University of Vienna, Department of Political Science, “A researcher’s perspective on the Kosovo example – how NGOs perform their tasks“

**Chair:** Amb. Irene Freudenschuss-Reichl, MFA

**Comments by:**

Susan Pond, Political Affairs and Security Policy Division, NATO

Arno Truger, Director, Austrian Study Center for Peace and Conflict Resolution (ASPR)

*Plenary Discussion*

15.15-16.00

16.00-16.30

**Wrap up and way ahead**

Tour de Table, next steps

16.30

*Wine reception*

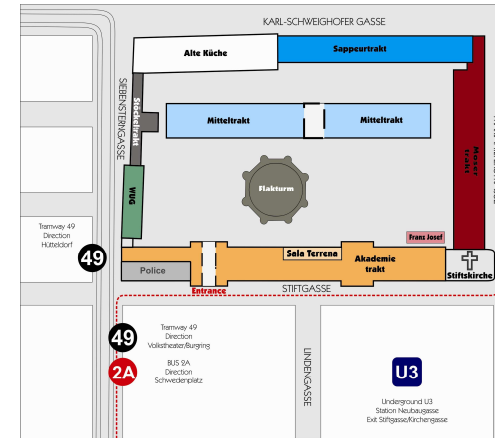
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**Vienna 3C Appeal**  
**Format „Negotiators only“**

- 7. Mai** **Folgerungen für den Strategischen Leitfaden „Sicherheit und Entwicklung“**  
**Veranstaltungsort:** Österreichische Gesellschaft für Außenpolitik und die Vereinten Nationen, Reitschulgasse 2 („Stallburg“), 1010 Wien
- 11.00-13.00 h **Begrüßung und Vorsitz:** Ursula Werther-Pietsch, BMeiA  
 Günther Barnet, BMLVS  
 Ruth Picker, AG Globale Verantwortung
- Präsentation „3C Appeal“ im Lichte der Tagung**
- Diskussion und Finalisierung**
- Prozess Strategischer Leitfaden: weiteres Vorgehen**
- 13.00 h *Ausklang bei Brötchen und Sekt*

**How to get to the Conference**

**May 5/6 (international conference):** *National Defence Academy*



**May 7 (NGOs only):** *UNA-Austria*



**Contact:**

Ursula Werther-Pietsch, 0676 8999 4486, Katharina Roithner, 0676 8999 5870

**Vienna 3C Appeal:**

You will find the final version of the  
 Vienna 3C Appeal at [www.entwicklung.at](http://www.entwicklung.at)

**Conference proceedings:**

Publication envisaged Oct/Nov 2010

## Our speakers



Brigadier Mag. **Thomas Starlinger** was engaged in several Overseas Missions in Syria, Iran, Cyprus and Tajikistan. Recently, he commanded the Multinational Task Force South in the framework of NATO / KFOR in Kosovo. From 2003 to 2007 he was deployed to Brussels where he was responsible for short to long term Force development – at first in the EU-Military Staff (EUMS) and thereafter within the European Defence Agency (EDA).



**Gudrun Kramer** is co-founder and director of the Institute for Integrative Conflict Transformation and Peacebuilding – IICP. From 1999 – 2005 she was Program Director for projects related to conflict regions at the Austrian Study Center for Peace and Conflict Resolution - ASPR. She was also responsible for the ASPR training courses that are designed to prepare civilians for peace-building activities in crisis areas.



In 1998/99 Amb. **Wolfgang Petritsch** was the EU's Special Envoy for Kosovo and EU chief negotiator at the Kosovo peace talks in Rambouillet and Paris. Between 1999 and 2002 he served as the International Community's High Representative for Bosnia and Herzegovina. From 2002 to 2008 Ambassador Petritsch represented his country at the UN, since 2008 he is the Austrian Representative to the OECD in Paris.



**Rosan Smits** joined Clingendael as a CRU Research Fellow in 2009. She has a background in International Relations and Development Cooperation, with a particular focus on peace building and security. Prior to joining Clingendael, she worked with non-governmental organizations on conflict transformation and political analysis in the Horn of Africa and the Great Lakes Region. Within CRU she works on policies regarding the role of non-state actors in fragile states, gender & conflict and fragile states aid architecture.

**Sabine Kurtenbach** Senior Researcher of the Institute of Latin America Studies, GIGA



Current research interests: peace and conflict, security policies, youth. Regional focus Central America, Andean Region, Southeast Asia. Recent publication: 2010 Development Cooperation after War and Violent Conflict. Debates and Challenges, INEF-Report 100, Duisburg: Institut für Entwicklung und Frieden (with Matthias Seifert).



**Cristina Hoyos** Since 2009 Special Advisor for Development, Security and Fragility in the Swiss Agency for Development and Cooperation. Development of the Concept and Realisation of the 3C Conference in Geneva (March 2009) "Improving results in fragile and conflict situations". Since 2009 Co- Vice-Chair of the International Network on Conflict and Fragility (INCAF) and from 2006-2008. Co-Chair in the DAC/OECD of the Conflict Prevention and Development Cooperation Network. Head of Division Conflict Prevention and Transformation in the Swiss Agency for Development Cooperation (2005-2008). Participation in peace negotiations with subversive groups in Colombia Ejército de Liberación Nacional (ELN) and Fuerzas Armadas de Colombia (FARC).



Prior to joining Kofi Annan International Peacekeeping Training Centre (KAIPTC) as Programme Coordinator **Emma Birikorang** worked at the African Security Dialogue and Research (ASDR), a think-tank in Accra as Senior Programme Assistant. She is currently a Research Fellow

at KAIPTC and undertakes research on African Peace and Security Mechanisms and the ECOWAS/AU Standby frameworks. She also facilitates training modules on Security Sector Reform and Conflict Prevention.



Originally from Mexico, **Alina Rocha Menocal** is a Research Fellow at the Overseas Development Institute (ODI) in the Poverty and Public Policy Group, where her focus is on Politics and Governance. She has done extensive comparative research in Latin America and Africa, with

particular focus on the challenges of democratisation and state-building. Since joining ODI in February 2005, Alina has been involved in a series of projects and assignments that seek to bridge the gap between research and policy in thinking about governance issues from a political economy perspective.

**Max Santner** is Head of the International Division in the Austrian Red Cross. Currently, he chairs the Aus-



trian umbrella organisation “Global Responsibility - Austrian Platform for Development and Humanitarian Aid”. Mr. Santner has long-standing experience in senior management positions in development cooperation and humanitarian assistance, incl. implementation of development projects, especially in Nepal and Sri Lanka. During his former assignments and as representative of the Austrian Red Cross he visited crisis regions in Chad, Sudan, Haiti and Afghanistan.



**Vedran Dzihic**, senior researcher, lecturer; director of CEIS Center for European Integration Strategies Since 2000 Assistant and Senior Lecturer at the Institute for Political Sciences, University of Vienna and at the MA-Postgraduate Studies “Balkan Studies” in Vienna and Bratislava; Lecturer at the MA-Programme “State management and humanitarian affairs” at the University in Sarajevo; Lecturer at the Institute for Slavic Studies, University of Vienna. Senior Researcher and Co-Chief of Party, Project: Transformation and Democratization of the Balkans, Coordination of the Research Platform “POTREBA” ([www.univie.ac.at/potreba](http://www.univie.ac.at/potreba))



**David Tolbert** currently serves as the third president of the International Center for Transitional Justice, a global human rights organization with headquarters in New York. Previously, Tolbert worked

with the United Nations for almost 15 years, i.a. acting as a senior legal advisor, deputy chief prosecutor and assistant secretary-general, chief of the General Legal Division of UNRWA in Vienna, Austria and Gaza, Deputy Prosecutor of the International Criminal Tribunal for the former Yugoslavia (ICTY). He has served as the Executive Director of the American Bar Association's Central European and Eurasian Law Initiative (ABA CEELI), an institution that manages rule of law development programs throughout Eastern Europe and the former Soviet Union. Tolbert obtained his B.A. magna cum laude from Furman University, his J.D. from the University of North Carolina and his LL. M. with distinction from the University of Nottingham. He has published widely regarding international criminal justice, the ICTY and the ICC and has represented the ICTY in the discussions leading up to the creation of the ICC. He has also taught international law and human rights at the post-graduate level in the UK and practiced law for many years in the US.

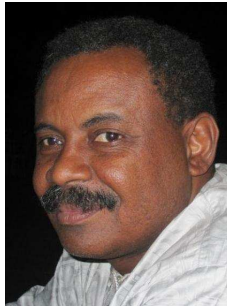


**Stefani Weiss**

A political scientist and expert on European Law she is project manager at the Bertelsmann Foundation and a member of the foundation’s Brussels staff within the Europe’s Future and Interantional Governance programs.

**Boubacar Daouda Diallo**

Since 2002 Coordinator of RIESCA (Réseau international d’Etudes Stratégiques sur les Conflits en Afrique). From 2002 to 2006 he was head of the Modern Lieterature Department at the Abdou Moumouni University of Niamey.



Member of the African civil society 2008. In 2006 he began his work at RIESCA as regional coordinator of PASPA (Partenariat Stratégique pour la Paix en Afrique). Since 2004 he has been a member of WANSED (West African Network on Security and Democracy). He has done research work regarding sources of conflicts, African identity conflicts and the contribution of Arts and Literature to peace in Africa. Consultancy and training in conflict prevention and peacebuilding; he initiated different projects in the field:  
2006 (with Austrian support) Environment and reduction of poverty  
2009 capacity-building for grass-roots communities and decentralisation in Niger  
2010: Festival of the muslim chanson for peace.

**Our negotiators – The 3C Appeal**

**Ruth Picker** is managing director of “Global Responsibility – Austrian Platform for Development and Humanitarian Aid” (“AG Globale Verantwortung”). The platform represents the interests of Austrian NGOs working in the field of development and humanitarian aid, including sustainable global economic social and ecological development. Mrs Picker holds a degree in psychology (University of Vienna/ New York University) as well as a degree on human rights and democratisation. She has done research on transitional justice and conflict resolution in South Africa and used to work as political researcher and consultant.



**Günther Barnet** is Coordinator of the National Security Council in the Austrian MOD, where he is responsible for whole of government process in the field of comprehensive security. He also works in international groups on topics related to the development of comprehensive crisis management concepts. Before 2007 he was political director of a parliamentary group in Austria and from 2000 to 2003 member and deputy chief of the cabinet of the former Minister for Defence. From 2002 to 2005 he was also member and chairman of a parliamentary group within the legacy of the federal state and city of Vienna.

**Ursula Werther-Pietsch** is Deputy Director in the Austrian MFA, with a special focus on security and development, and human rights. She works as an associate lecturer at University of Graz, Institute for International Law and International Relations. Werther-Pietsch is initiator of the Vienna 3C



Conference.

## Our co-chairs



### **Irene Freudenschuss-Reichl**

After various assignments in the MFA Vienna served as Deputy-Director at the Austrian Press and Information Service in New York and deputy Head of Mission ad interim. As Alternate Permanent Representative of Austria to the United Nations in Vienna she was also respon-

sible in the fields of combating drug abuse, money-laundering, crime prevention and criminal justice and women's issues.

From 1995 to February 1998 she served as Head of the International and EU-Affairs Department at the Ministry for the Environment, Youth and Family and was appointed Permanent Representative of Austria to UNIDO, IAEA, the United Nations Office in Vienna and CTBTO-PrepCom in 1998.

As of 2001 Assistant Director-General for UN Affairs and Director of the UNIDO office in New York, Freudenschuss-Reichl reached her actual position as Director-General for Development Cooperation, Ministry for European and International Affairs, Vienna, Ambassador, in 2005.



**Otmar Höll**, has been working for the Austrian Research Institute for International Relations-oiip as senior researcher for more than thirty years. Since 1996 he is in charge of the Institute as director. As oiip's director Otmar Höll is managing the academic program and research projects and is also responsible for

conferences and international cooperation with universities and academic institutions in Austria and abroad. In addition Director Höll has liaison not only with various embassies in Vienna, but also with ministries of the Austrian government, inter alia the Chancellery, the Ministry for Foreign Affairs, the Ministry of Traffic, Innovation and Technology, the Ministry for Agriculture and the Environment, the Defense Ministry, the Ministry of the Interior, and the Vienna Municipality.

Director Höll has made a long career in the academic world. Since 2006 he is Visiting Professor at the Danube University of Krems (DUK), and he has been lecturing at the Vienna University since 1983. Besides his post at the oiip, he worked as Professor at the Geschwister-Scholl-Institut for International Relations at the Ludwig-Maximilian University in Munich, as Guest-Professor at the Institutes for Political Science at the Universities of Vienna, Linz, Innsbruck and Tampere. Back in the 1970s he was research fellow at the Institute for Advanced Studies in Vienna-IHS.

Director Höll's main research areas include European Integration/Austrian foreign policy, globalization and international system dynamics/policies regarding development and environment (sustainability). He has also gathered expertise in comprehensive/ sustainable security, crisis and conflict research as well as in political psychology, based on a training in Gestalt-therapy.



### **Johann Pucher**

Major General Johann Pucher held many leading posts at the Ministry of Defence and in international missions such as UNDOF, Deputy Chief of Staff, Commanding Officer Infantry Regiment, Deputy Head of the Directorate for Interna-

tional Relations and Military Advisor to the Austrian OSCE Delegation. From 1994 onwards Pucher has served as Head of Section for Arms Control, PFP issues and from 1995 he was Coordinator for International Assistance; Austrian representative in the international project groups for SHIRBRIG and Central European Cooperation in Peace-keeping, then Military Advisor at the Federal Chancellery, Prime Minister's Office, and the Bureau for Security Studies. From 2002-2004 he was Director of the Regional Arms Control Verification Assistance Center (RAC-VIAC) in Zagreb. Since 2003 Member of the Board of Directors of the International Military Sports Council CISM Pucher had specific responsibility for the Western Balkans during the Austrian EU presidency. As from 1 March 2007 he is Director of the Directorate General for Security Policy.

## Das Konzept

**Die Veranstaltung zielt auf die Entwicklung eines Konsensus der Zusammenarbeit zwischen verschiedenen AkteurInnen vor Ort rund um Konfliktsituationen auf Grundlage neuer Konzepte zu Peacebuilding und Statebuilding ab. Erstmals werden Nichtregierungsorganisationen zentral in diese Überlegungen einbezogen.**

Die Konsultationen wurden im Rahmen der Genfer 3C Konferenz "Improving results in conflict and fragile situations" im März 2009, die einen Meilenstein in der Zusammenarbeit der einzelnen "communities" der Diplomatie, Verteidigungspolitik, Polizei und Justiz, Entwicklungspolitik, Wirtschaft, Umweltpolitik und humanitärer Hilfe darstellt, zugesagt.

Die OECD hat mit der Pariser Erklärung zur Wirksamkeit von Entwicklungszusammenarbeit 2005 und dem 2008 ausgearbeiteten Accra Aktionsplan die Weichen für ein besseres Miteinander in fragilen Situationen gesetzt. Die Realität ist oft weit davon entfernt. Auch innerhalb der UNO und der EU laufen derzeit Reformprozesse, die diese "Schnittstelle" zwischen zivilem und militärischem Engagement im Visier haben. Dabei wurde klar, dass die Probleme nicht von einander getrennt auftauchen, sondern gleichzeitig auftreten und zu bewältigen sind. Besonders in den Transitionsphasen von latentem zu offenem Konflikt, in der Konfliktsituation selbst und nach Beendigung bewaffneter Auseinandersetzungen hängt der Erfolg - Sicherheit und Entwicklung - von einem koordinierten Vorgehen aller AkteurInnen der internationalen, aber auch der lokalen Gesellschaft und der Nichtregierungsorganisationen ab. Friedenserhaltung, Friedenskonsolidierung und der Aufbau staatlicher Strukturen ("peacekeeping", "peacebuilding" und "statebuilding") hängen eng miteinander zusammen.

## Koordiniertes Vorgehen bestimmt Erfolg

Bisherige 3C-Ansätze waren in erster Linie auf Regierungsstellen und internationale Organisationen ausgerichtet. Die wichtigsten Fortschritte im Verständnis von Sicherheit und Entwicklung liegen jedoch u. a. in der Forderung nach mehr Kontextualisierung, d.h. einer Konzentration auf die Bedingungen vor Ort. "Rezepte" ziehen nicht in fragilen Situationen. Diese Expertise, das Eingehen auf die tatsächliche Situation, wird oft von Nichtregierungsorganisationen eingebracht. Jeder Akteur hat seinen Mehrwert in diesem Zusammenspiel und kann zur gemeinsamen Vision von Frieden und Stabilität beisteuern. Es gibt es viel voneinander zu lernen.

Die Konsultationen, die als offener Meinungs austausch angelegt sind, dienen einer Verankerung des Konzepts im österreichischen Engagement in Drittstaaten und bilden daher gleichzeitig einen Teil des Projekts "Strategischer Leitfaden Sicherheit und Entwicklung".

Ergebnis der Konferenz soll ein gemeinsam mit den Nichtregierungsorganisationen ausverhandelter Text ("3C Appeal") zu Prinzipien der Zusammenarbeit in fragilen Situationen sowie ein Forderungskatalog an die internationale Gemeinschaft, internationale Einsätze entwicklungs sensitiv zu gestalten, sein

## The concept

**The conference aims at reaching a consensus between different actors operating before, in and after conflict building on new concepts on peace-building and state-building. For the first time, civil society organizations will be at the centre of deliberations.**

The consultations were committed during the 3-C Conference “Improving results in conflict and fragile situations” in Geneva in March 2009 which represents a milestone for the cooperation of diplomacy, defence policy, police, justice, development cooperation, economy, environmental policy and humanitarian aid.

The OECD has created the foundations of a better cooperation of actors in fragile situations by elaborating the Paris Declaration on Aid Effectiveness 2005 and the Accra Agenda for Action in 2008. The reality often differs considerably from these international commitments. Also the UN and the EU are currently running through reform processes addressing the interfaces between civil and military commitment. Experience shows that challenges do not proceed singularly but appear simultaneously and hence need to be commonly addressed. Particularly during the transition phase from latent to open conflict, during the conflict situation itself and after the cessation of violent conflict the success – security and development – depends on a coordinated approach of all international and local actors including civil society organizations. Peace keeping, peace building and state building are intimately interconnected.

## Building on coordination

Since now, 3C approaches have predominantly addressed government agencies and international organizations. The most important progress concerning security and development has however been made by claiming more contextualisation, that is a focus on conditions in the field. ‘Blueprints’ cannot be applied in fragile situations. The expertise to consider the actual situation in the field is often owned by non-governmental organizations. Each actor disposes of a special added value in this interplay and can contribute to a common vision of peace and stability. There remains a lot to learn from each other.

The consultations which are planned as an open exchange of views helping to anchor the concept in the Austrian engagement in third countries and at the same time constitute a part of the project “strategic guidelines on security and development”.

The result of this conference shall be a text commonly negotiated with NGOs (“3C Appeal”) on the principles of cooperation in fragile situations as well as a catalogue of requests to the international community for a development-sensitive design of international missions.