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**Midterm
Evaluation of
APPEAR II**

Austrian Development
Agency (ADA)

Final Report - Annex
September 2019

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Syspons GmbH
Prinzenstr. 84
10969 Berlin

Content

List of interviewed persons in the data collection phase

Assessment grid

Bibliography

List of interviewed persons in the data collection phase

Organisation/ Board	Function	Name	Appointment	Type of Interview
ADA headquarters	Head of Programmes and Projects International	Heinz Habertheuer	12.07.2019	Individual Interview (Telephone)
	Head of Themes & Quality (also member of the Advisory Board)	Erwin Künzi	19.06.2019	Group Interview (face-to-face)
	Head of Countries & Regions (also member of the Selection Board)	Walter Ehmeir	19.06.2019	Group Interview (face-to-face)
	Advisor Education & Science (responsible for the programme and chair of the Selection Board)	Matthias Themel	19.06.2019	Group Interview (face-to-face)
FMEIA	Task Manager Education & Science (also chair of the Advisory Board, Department VII.2)	Reinhold Gruber	12.07.2019	Group Interview (Telephone)
	Director (Department VII/5, Planning and Programming of Bilateral and Regional Development Cooperation)	Simone Knapp	12.07.2019	Group Interview (Telephone)
OeAD	Programme Officer APPEAR	Nikoleta Nikisianli	19.06.2019	Individual Interview (face-to-face)
	Programme Officer APPEAR	Julia Lichtkoppler	15.07.2019	Group Interview (Telephone)
	Programme Officer APPEAR	Elke Stinnig	15.07.2019	Group Interview (Telephone)
Selection Board	Member of the selection board, who is not an ADA employee (University of Vienna)	Marie-France Chevron	26.06.2019	Individual Interview (Telephone)
	Member of the selection board, who is not an ADA employee (University of Bern /Centre for Development and Environment)	Peter Messerli	15.07.2019	Individual Interview (Telephone)
Advisory Board	Member of the selection board, who is not an ADA or FMEIA employee (employee of Nuffic)	Beer Schröder	11.07.2019	Individual Interview (Telephone)
	Member of the selection board, who is not an ADA or FMEIA employee (employee of SIDA)	Hannah Akuffo	11.07.2019	Individual Interview (Telephone)

Organisation/ Board	Function	Name	Appointment	Type of Interview
ADC coordination offices	Ex Head of the ADC coordination office in Ethiopia (now Palestine)	Astrid Wein	12.07.2019	Individual Interview (Telephone)
	Ex-head of the ADC coordination office in Palestine	Andrea Nasi	12.07.2019	Individual Interview (Telephone)
	Head of the ADC coordination office in Burkina Faso	Christian Geosits	20.06.2019	Individual Interview (Telephone)
	Head of the ADC coordination office in Georgia	Gerhard Schaumberger	26.06.2019	Individual Interview (Telephone)
International experts	German Academic Exchange Service (DAAD)	Ruth Fuchs	10.07.2019	Individual Interview (Telephone)
	Flemish Interuniversity Council (VLIR)	Koen De Koster	09.07.2019	Individual Interview (Telephone)
Scientific community in Austria	University Austria (Austrian University Conference (UNIKO), responsible for international department)	Nadine Shovakar	09.07.2019	Individual Interview (Telephone)
	Austrian Association of Universities of Applied Sciences (responsible for Austrian Conference of Applied Science (FHK))	Heidi Esca-Scheuringer	27.06.2019	Individual Interview (Telephone)
	Austrian Foundation for Development Research (ÖFSE, responsible for education and international development cooperation)	Margarita Langthaler	15.07.2019	Individual Interview (Telephone)
	International relations office of University Wien (responsible for APPEAR)	Franz Stefan Michalke	16.07.2019	Individual Interview (Telephone)
	Head of international relations office of University Innsbruck	Mathias Schennach	10.07.2019	Individual Interview (Telephone)
	Head of international relations office of Boku University	Margarita Calderón-Peter	10.07.2019	Individual Interview (Telephone)
Participating institutions	Participant institution of a partner country	Tesfaye Abate	18.07.2019	Individual Interview (Telephone)
	Participant institution of a partner country	Raymond Ouedraogo	31.07.2019	Individual Interview (Telephone)
	Participant institution of a partner country	Sanaa Aboudagga	10.07.2019	Individual Interview (Telephone)
	Austrian participant institution	Maria Katelyeva-Platzer	10.07.2019	Individual Interview (Telephone)
	Austrian participant institution	Johann Sölkner	16.07.2019	Individual Interview (Telephone)
	Austrian participant institution	Gottfried Biewer	13.08.2019	Individual Interview (Telephone)

Organisation/ Board	Function	Name	Appointment	Type of Interview
Scholarship holders	Scholarship holder not embedded in a partnership	Sonnia Nzilani Musyoka	01.07.2019	Individual Interview (Telephone)
	Scholarship holder not embedded in a partnership	Simegn Kassa Alamirew	01.07.2019	Individual Interview (Telephone)
	Scholarship holder not embedded in a partnership	Pauline Macharia	12.08.2019	Individual Interview (Telephone)
	Scholarship holder not embedded in a partnership	Kidia Kessiee	18.07.2019	Individual Interview (Telephone)
	Scholarship holder not embedded in a partnership	Sinara Navoyan	10.07.2019	Individual Interview (Telephone)

Evaluation Criteria	Evaluation Question	Analytical Focus	Indicators and/or Descriptors	Sources of Verification																	
				Desk Research		Exploratory interviews	Secondary data	Individual and group interviews							Mini-survey (for the perception analysis)	Online survey for participant institutions	Validation focus group				
				International and national strategies, current reference frameworks	Programme documents			ADA, OeAD & BMEIA	Selection Board	Advisory Board	ADC coordination offices	Participant institutions	Scholarship holders not embedded in a partnership	Scientific community in Austria				International experts			
Effectiveness	8.) Does the modality of programme execution chosen by ADA (externally, commissioning of a third party) assure an effective and efficient implementation of the programme?	Modality of programme execution	1. Qualitative assessment of the programme execution by the OeAD					x	x	x	x	x	x						x		
			2. Percentage of respondents, who indicate that the programme management of the OeAD contributes in order for APPEAR II to achieve its objectives																	x	
	9.) To what extent has the programme already achieved (intermediate) results as defined in the intervention logic and the terms of reference of the tender?	Teaching capacities	1. Percentage of respondents, who indicate that the teaching quality in their institutions improved (on the basis of the APPEAR II programme)					x													
			2. Qualitative assessment of the teaching capacities of the participant institutions (departments) and the contribution of the programme to its improvement					x (only OeED)				x	x							x	
		Research capacities	1. Percentage of respondents, who indicate that the research quality in their institutions improved (on the basis of the APPEAR II programme)					x													
			2. Qualitative assessment of the research capacities of the participant institutions (departments) and the contribution of the programme to its improvement					x (only OeED)				x	x								x
		Organisational capacities	1. Percentage of respondents, who indicate that their departments improved their organisational capacities (on the basis of the APPEAR II programme) between the start of the projects and the moment of evaluation, differentiated according to: a) Improved organisational processes and structures b) Improved human resources in project and/or research management																	x	
			2. Qualitative assessment of the organisational capacities of the participant institutions (departments) and the contribution of the programme to its improvement							x (only OeED)				x	x						x
		Dialog and cooperation between participant institutions	1. Percentage of respondents, who indicate that the dialogue between the participant institutions improved (on the basis of the APPEAR II programme), differentiated according to: a) Scientific dialog in the respective countries b) Mutual knowledge exchange c) Generation of transnational and transcultural spaces of knowledge d) Cultural awareness between the actors e) Creation of long term partnerships					x													
			2. Qualitative assessment of the dialog and cooperation between participating institutions (department) and the contribution of the programme to its improvement							x				x	x						x
		Effectiveness of the second component (scholarships)	1. Percentage of respondents, who indicate that the scholarships contribute to strengthen the participant institutions (only for scholarship that are embedded in a partnership): a) Application of knowledge and skills in the participant institutions																	x	
			2. Qualitative assessment of the strengthening of the partner institutions / research and academic community due to the given scholarships (both stand-alone scholarships and embedded in a partnership)							x				x	x	x					x
Added value of the APPEAR programme	1. Qualitative assessment of the added value of APPEAR II in comparison to other similar programmes							x	x	x	x	x		x	x			x	x		

Evaluation Criteria	Evaluation Question	Analytical Focus	Indicators and/or Descriptors	Sources of Verification																
				Desk Research		Exploratory interviews	Secondary data	Individual and group interviews							Mini-survey (for the perception analysis)	Online survey for participant institutions	Validation focus group			
				International and national strategies, current reference frameworks	Programme documents			ADA, OeAD & BMEIA	Selection Board	Advisory Board	ADC coordination offices	Participant institutions	Scholarship holders not embedded in a partnership	Scientific community in Austria				International experts		
Effectiveness	10.) To what extent has the programme been successful in implementing its strategies and guiding documents (e.g. gender strategy, open access, disability mainstreaming)?	Implementation of strategies and guiding documents	1. Percentage of respondents who describe the implementation of the gender strategy as adequate differentiated by: a) Increase of gender equality of staff involved in project planning and implementation b) Increase of gender equality in terms of beneficiaries targeted (eg. Students) c) Awareness for gender equality at institutional level d) Integration of gender analysis in APPEAR research projects e) Integration of gender analysis in research projects in general f) Integration of gender analysis in APPEAR related teaching g) Integration of gender analysis in teaching in general				x													
			2. Percentage of respondents who describe the implementation of strategic and guiding documents of APPEAR II as adequate differentiated by: a) Disability Mainstreaming manual b) Open access manual																x	
			2. Qualitative assessment of the implementation of APPEAR II strategic and guiding documents		x			x	x	x	x	x								x
	11.) What is the programme's contribution to the visibility of development related issues, research and teaching within the Austrian academic/scientific public?	Visibility of development research issues by Austrian academic/scientific public	1. Percentage of Austrian respondents, who indicate that the APPEAR II programme: a) increased the interest of Austrian participant institutions in research development																x	
			2. Qualitative assessment of contribution of the programme to the visibility of development related issues, research and teaching within the Austrian academic/scientific public				x	x				x			x					x
	12.) What are the factors that are influencing the performance of the programme positively or negatively? Is there enough flexibility to react on these factors e.g. changes in the political situation of the APPEAR target countries?	Factors influencing the programme positively or negatively	1. Qualitative assessment of the factors influencing the programme positively				x				x	x	x						x	
2. Qualitative assessment of the factors influencing the programme negatively						x				x	x	x						x		
3. Qualitative assessment of how the programme reacts to these factors						x				x	x	x						x		

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